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16569 U.S. PTO

PTO/SB/05 (06-03)

Approved for use through 07/31/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	14993US01
	First Inventor	Bernards, et al.
	Title	ADDITIVES TO STOP COPPER ATTACK BY ALKALINE ETCHING AGENTS SUCH AS AMMONIA AND MONOETHANOL AMINE (MEA)
	Express Mail Label No.	EL 282689315US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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- | | |
|---|--|
| <p>1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.
See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 24]
(preferred arrangement set forth below)
-Descriptive title of the invention
-Cross Reference to Related Applications
-Statement Regarding Fed sponsored R&D
-Reference to sequence listing, a table, or a computer
program listing appendix
-Background of the Invention
-Brief Description of the Drawings (if filed)
-Detailed Description
-Claim(s)
-Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets _____]</p> <p>5. Oath or Declaration [Total Sheets _____]
a. <input checked="" type="checkbox"/> Newly executed (original or copy)
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. <input type="checkbox"/> Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
ii. <input type="checkbox"/> Paper
c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
|---|--|

ACCOMPANYING APPLICATION PARTS

- | | |
|---|--|
| 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents(s)) | |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) | <input type="checkbox"/> Power of Attorney |
| 11. <input type="checkbox"/> English Translation Document (if applicable) | |
| 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input type="checkbox"/> Copies of IDS Citations |
| 13. <input type="checkbox"/> Preliminary Amendment | |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
(Should be specifically itemized) | |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s)
(if foreign priority is claimed) | |
| 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)
(2)(B)(i). Applicant must attach form PTO/SB/35 or
its equivalent. | |
| 17. <input type="checkbox"/> Other: Check _____ | |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information:

Examiner: _____

Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: **23446** OR ☐ Correspondence address below

Name					
Address					
City	State	Zip Code			
Country	Telephone	Fax			
Name (Print/type)	George F. Wheeler	Registration No. (Attorney/Agent)	28,766		
Signature	<i>George F. Wheeler</i>	Date	12/12/2003		

17497 U.S. PTO
10/735424

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16569 U.S. PTO

PTO/SB/17 (11-00)

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FEE TRANSMITTAL for FY 2004 Patent Fees are subject to annual revision.		Complete if Known	
		Application Number	Not Assigned
		Filing Date	12/11/2003
		First Named Inventor	Bernards, et al.
		Examiner Name	Not Assigned
		Group Art Unit	Not Assigned
TOTAL AMOUNT OF PAYMENT	(\$1202.00)	Attorney Docket No.	14993US01

METHOD OF PAYMENT		FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES					
Deposit Account Number	13-0017	Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	
Deposit Account	McAndrews Held & Malloy	1051	130	2051	65	Surcharge - late filing fee or oath	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		1053	130	1053	130	Non-English specification	
2. <input checked="" type="checkbox"/> Payment Enclosed:		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE		1251	110	2251	55	Extension for reply within first month	
Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid				
1001	770	2001 385 Utility filing Fee	770.00	1252	420	2252 210 Extension for reply within second month	
1002	340	2002 170 Design filing Fee		1253	950	2253 475 Extension for reply within third month	
1003	530	2003 265 Plant filing fee		1254	1,480	2254 740 Extension for reply within fourth month	
1004	770	2004 385 Reissue filing fee		1255	2,010	2255 1,005 Extension for reply within fifth month	
1005	160	2005 80 Provisional filing fee		1401	330	2401 165 Notice of Appeal	
SUBTOTAL (1) (\$770.00)				1402	330	2402 165 Filing a brief in support of an appeal	
2. EXTRA CLAIM FEES				1403	290	2403 145 Request for oral hearing	
Total Claims	37 - 20** = 17	Extra Claims	Fee from below	1451	1,510	2451 1510 Petition to institute a public use proceeding	
Independent Claims	4 - 3** = 1	88	86.00 = 86.00	1452	110	2452 55 Petition to revive - unavoidable	
Multiple Dependent				1453	1,330	2453 665 Petition to revive - unintentional	
Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	1501	1,330	2501 665 Utility issue fee (or reissue)	
1202	18	2202 9 Claims in excess of 20		1502	480	2502 240 Design issue fee	
1201	86	2201 43 Independent claims in excess of 3		1503	640	2503 320 Plant issue fee	
1203	290	2203 145 Multiple dependent claim, if not paid		1460	130	1460 130 Petitions to the Commissioner	
1204	86	2204 43 **Reissue independent claims over original patent		1807	50	1807 50 Processing fee under 37 CFR 1.17(q)	
1205	18	2205 9 **Reissue claims in excess of 20 and over original patent		1806	180	1806 180 Submission of Information Disclosure Stmt	
SUBTOTAL (2) (\$392.00)				8021	40	8021 40 Recording each patent assignment per property (times number of properties)	
**or number previously paid, if greater; For Reissues, see above				1809	770	2809 385 Filing a submission after final rejection (37 CFR § 1.129(a))	
				1810	770	2810 385 For each additional invention to be examined (37 CFR 1.129(b))	
				1801	770	2801 385 Request for Continued Examination (RCE)	
				1802	900	1802 900 Request for expedited examination of a design application	
				Other fee (specify)	Recordation		40.00
				SUBTOTAL (3) (\$40.00)			

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	George F. Wheeler	Registration No. (Attorney or Agent)	28,766	Telephone	312-775-8000
Signature	<i>George Wheeler</i>	Date	12/12/2003		

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